



Índice de Políticas Públicas para MiPYMEs en ALC: Dimensión 1



OECD Latin America & the Caribbean Regional Programme

Dimensión 1: Marco Institucional

Resultados Primera Edición

- Gran experiencia en el área de desarrollo del sector privado, incluyendo las políticas para las PYMEs.
- Habían diseñado marcos institucionales altamente articulados.
- Habían establecido instituciones encargadas de las políticas para PYMEs que están en funcionamiento
- Habían identificado las orientaciones estratégicas y se han puesto en práctica mecanismos de
- coordinación y consulta.

Áreas de oportunidad

- Introducir aún más las políticas para las PYMEs en sus estrategias nacionales más amplias para el desarrollo económico y social
- Volver más eficaces los mecanismos de consulta y coordinación
- Mejorar los mecanismos de monitoreo
- Realizarevaluaciones de impacto a los niveles de las políticas y los programas



Desarrollo de puntajes

THEMATIC BLOCK 1: PLANNING & DESIGN			Points
1	Is there a multi-year SME strategy in place?		1
1.1	If yes	Is the SME strategy embedded into a national development strategy?	0.083
1.2		Does the strategy build upon previous SME strategies?	0.083
		Does the strategy include the following elements?	
1.3		Measurable targets	0.083
1.4		Action plan	0.083
1.5		Descriptive part about monitoring and evaluation of the strategy and action plan	0.083
1.6		Does the strategy include elements from the ASEAN SAPSMED 2016-2025 (SAP)?	0.083
1.7		Are there measurable and time-bound targets attached to the action plan?	0.083
1.8		Is one central institution in charge of leading and coordinating SME strategy?	0.083
1.9		Has an inter-ministerial consultation process taken place in the development of the strategy?	0.083
1.10		Has the private sector been consulted in the development of the strategy?	0.083
1.11		Have the other relevant stakeholders (e.g. civil society, regular citizens etc.) been consulted in the	0.083
1.12		Did the strategy include specific strategies for certain industries / sectors?	0.083
2	Does a leading SME policy implementation body or equivalent exist?		1
2.1	If yes	Does the SME policy implementation body have operational autonomy?	0.333
2.2		Are other ministries represented on the governance board or equivalent of the implementation body?	0.333
2.3		Is the private sector represented on the governance board or equivalent of the implementation body?	0.333
Total			4

Main indicator: Full point (1 point)



Desarrollo de puntajes

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2.3		Is the private sector represented on the governance board or equivalent of the implementation body?	0.333
Total			4

Main indicator: Full point (1 point)

Sub-indicator: 1 point is divided by the no. of sub-indicators



Desarrollo de puntajes

THEMATIC BLOCK 2: IMPLEMENTATION			Points
1	Has a budget been mobilised for the action plan?		1
1.1	How much is the budget?		
2	If an SME implementation body exists, is it operational?		1
2.1	How many joint programs have been conducted?		
2.2	How many coordination meeting that has been conducted?		
3	Is there a website for the SME implementation agency?		1
Total			3

THEMATIC BLOCK 3: MONITORING AND EVALUATION			Points
1	Are there any monitoring mechanisms in place for the implementation of the strategy?		1
2	Are there any monitoring mechanisms in place for the implementation agency/body?		1
3	Does the implementation body regularly produce a publicly available report?		1
4	Is information about the beneficiaries of SME support services offered by the implementation body open to the public?		1
5	Has an independent review of the action plan implementation been conducted?		1
6	Have any adjustments been made based on the results of the monitoring and evaluation?		1
	Is the government collecting the following data on SMEs:		
7.1	Number of SMEs		0.33
7.2	Employment by SMEs		0.33
7.3	Value added by SMEs to the GDP		0.33
Total			7

Questions in red are quantitative indicators



Desarrollo de puntajes

Examples of corresponding scores based on responses

Indicator	Response	Score
Yes/No questions	Yes	1
	No	0
Is there a multi-year SME strategy in place?	Strategy exists, has been approved by the government and is in the process of implementation	1
	Draft strategy exists but yet not approved by the government	0.67
	Strategy is in the process of development	0.33
	There is no strategy under development	0
Does the strategy include elements from the ASEAN SAPSMED 2016-2025 (SAP)?	All elements of the SAP was used in the development of the strategy	1
	Most elements of the SAP was used in the development of the strategy	0.75
	Some elements of the SAP was used in the development of the strategy	0.5
	There is a plan to use SAP as a reference in the development of the strategy	0.25
	The SAP was not used as a reference in the development of the strategy	0
Has a budget been mobilised for the action plan?	From government budget only	1
	Mainly government budget with some donor support	0.75
	Funds are balanced between government and donor	0.5
	Mainly with donor contributions	0.25
	Budget has not been mobilised	0



Desarrollo de puntajes

Examples of corresponding scores based on responses

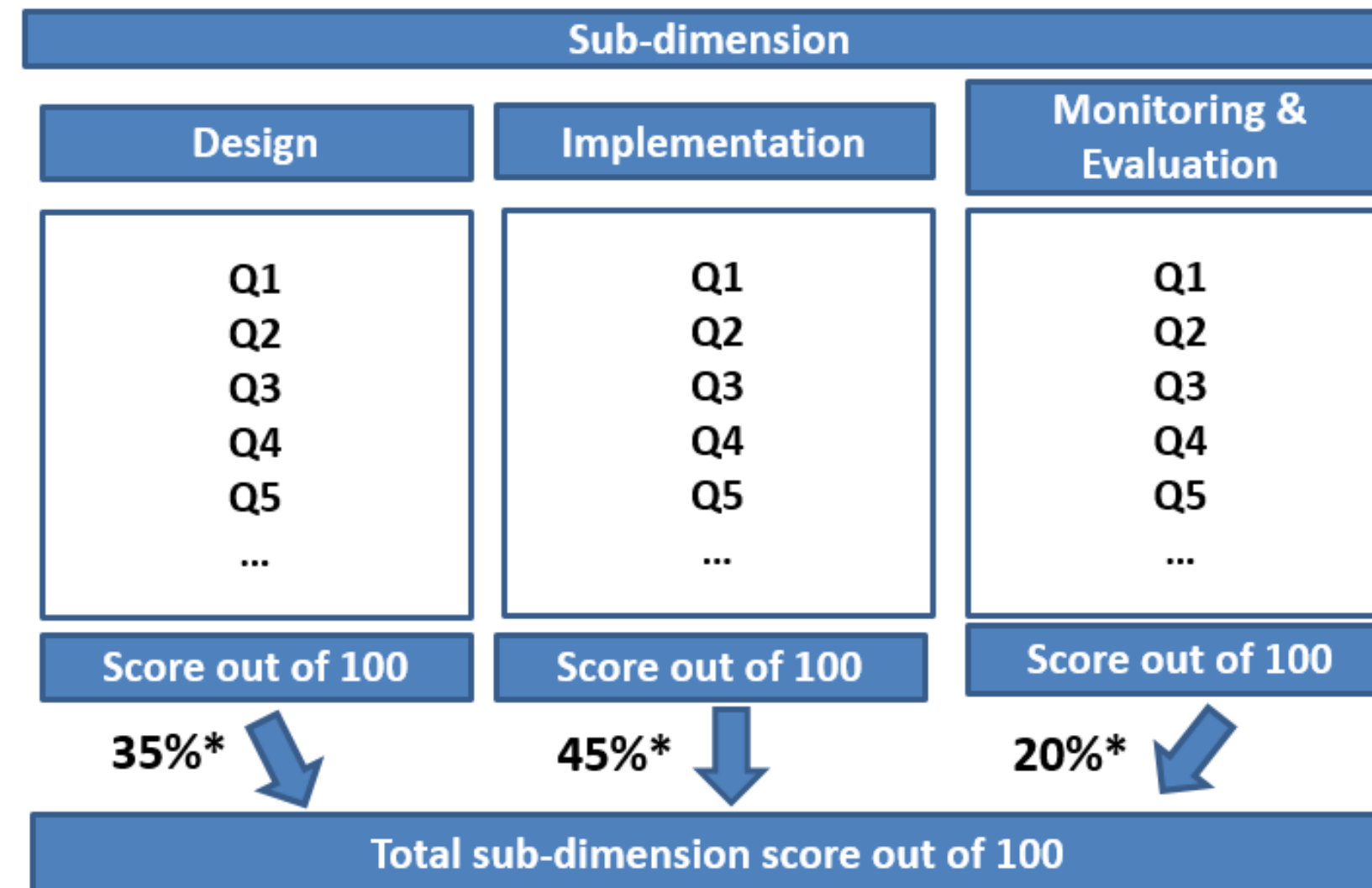
THEMATIC BLOCK 1: PLANNING & DESIGN			Points	Response	Score based on Response
1	Is there a multi-year SME strategy in place?		1	Yes, strategy exists, has been approved by the government and is in the process of implementation	1
		Yes, strategy exists, has been approved by the government and is in the process of implementation			
		Yes, draft strategy exists but not yet approved by the government			
		No, but strategy is in the process of development			
		No, the old strategy expired and there is no strategy in development			
1.1	If yes	Is the SME strategy embedded into a national development strategy?	0.0833	Yes	1
1.2		Does the strategy build upon previous SME strategies?	0.0833	Yes	1
		Does the strategy include the following elements?			
1.3		Measurable targets	0.0833	Yes	1
1.4		Action plan	0.0833	Yes	1
1.5		Descriptive part about monitoring and evaluation of the strategy and action plan	0.0833	No	0
1.6		Does the strategy include elements from the ASEAN SAPSMED 2016-2025 (SAP)?	0.0833	Most elements of the SAP was used in the development of the strategy	0.75
		All elements of the SAP was used in the development of the strategy			
		Most elements of the SAP was used in the development of the strategy			
		Some elements of the SAP was used in the development of the strategy			
		There is a plan to use SAP as a reference in the development of the strategy			
		The SAP was not used as a reference in the development of the strategy			

Total points scored: 3.34

Total possible points: 4

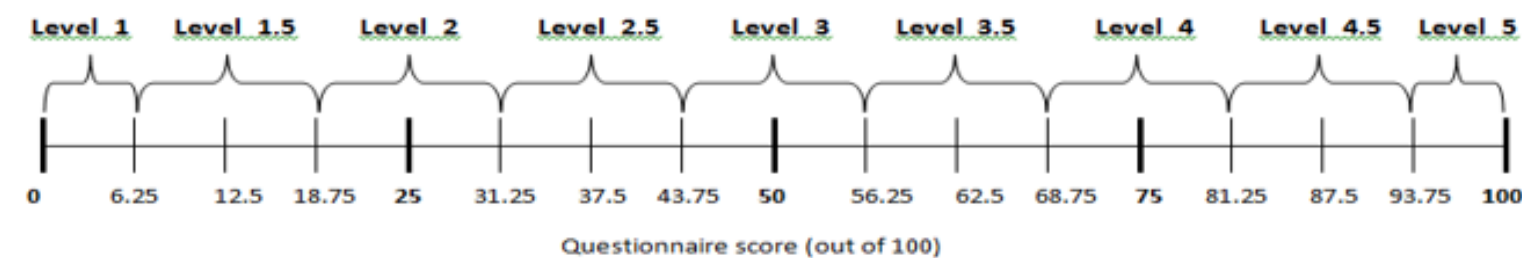


Desarrollo de puntajes



Conversion to the 5 level scale

Aggregation of all sub-dimension levels



Dimensión 1: Marco Institucional

1.1 Definición de MIPYMEs

- *Definición legal*
- *Uso constante de la definición en la legislación*



Dimensión 1: Marco Institucional

1.2 Planificación estratégica, diseño y coordinación de políticas

**Planificación y
Diseño**

35%

Implementación

45%

**Monitoreo y
Evaluación**

20%

- *Estrategias de desarrollo de MiPYMEs*
- *Agencias de Implementación de políticas o equivalente*
- *Disponibilidad de datos estadísticos sobre MIPYMES*



Dimensión 1: Marco Institucional

1.3 Consultas entre sectores público y privado

- *Frecuencia y transparencia de consultas entre sectores público y privado (CPPs)*
- *Participación del sector privado en CPPs*



Dimensión 1: Marco Institucional

1.4 Medidas para abordar la economía informal

**Planificación y
Diseño
35%**

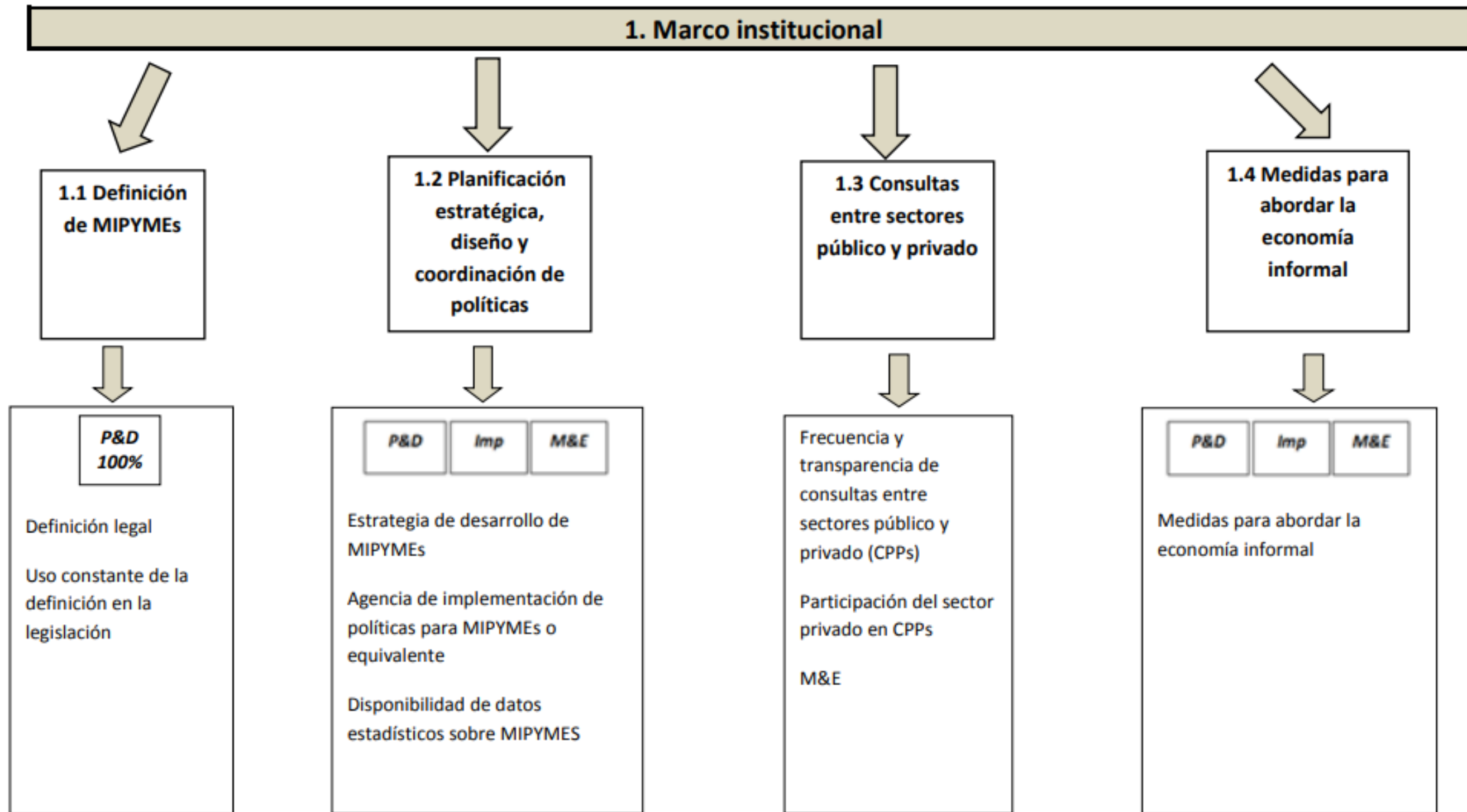
**Implementación
45%**

**Monitoreo y
Evaluación
20%**

- *Medidas para abordar la economía informal*



Dimensión 1: Marco Institucional



*P&D – Planificación y Diseño
 *Imp. – Implementación
 *M&E – Monitoreo y Evaluación

